



1764

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/085,809	
	Filing Date	February 28, 2002	
	First Named Inventor	J. Austin Kendrick, et al.	
	Art Unit	1764	
	Examiner Name	Glenn A. Caldarola	
Total Number of Pages in This Submission	4	Attorney Docket Number	1998B014E

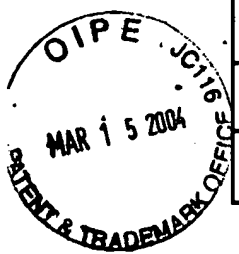
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ENCLOSURES (check all that apply)		
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Frank E. Reid Registration No. 37,918
Signature	
Date	March 8, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on			
Typed or printed name	Kerri L. Hayley	Date	March 8, 2004
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 1998B014E</b>
<b>In Re Application of: J. Austin Kendrick, et al.</b>			
<b>U.S. Serial No. 10/085809</b>	<b>Filing Date February 28, 2002</b>	<b>Examiner Glenn A. Caldarola</b>	<b>Group Art Unit 1764</b>

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**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.



Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

- ☐ Legible copies of the references are enclosed.
- ☒ This application is a:
- ☐ Continuation / Divisional,
  - ☒ Continuation-in-Part,

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of U.S.S.N. 09/955,729. Copies of the cited references are  
☐ enclosed; ☒ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

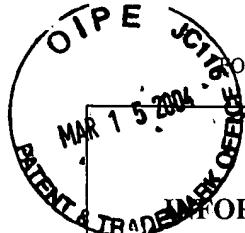
March 8, 2004  
Date

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FORM PTO-1449  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO. P23712	APPLICATION NO. 10/085,809
	APPLICANT James Austin Kendrick, et al.	
	FILING DATE February 28, 2002	GROUP
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)		
EXAMINER INITIAL		
	Declaration of Scott T. Roger As Part Of Supplemental Information Disclosure Statement (proprietary material).	

EXAMINERDATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



ATTY. DOCKET NO.

SERIAL NO.

1998B014E

10/085809

## INFORMATION DISCLOSURE STATEMENT

APPLICANT

J. Austin Kendrick, et al.

FILING DATE

GROUP

2/28/2002

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## U.S. PATENT DOCUMENTS

TC 1700

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AO	Chem Systems Inc., High Density Polyethylene 91-6-51-61 (April 1993) ("the Chem Systems report")
	AP	Declaration of Scott Roger for Submission of Prior Art ("the Roger declaration")
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant